Attorney's Docket No. 38190.244112

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Forrest et al.

Appl. No.:

To be assigned

Filed:

Concurrently herewith

For:

FRICTION STIR GRAIN REFINEMENT OF STRUCTURAL MEMBERS

February 8, 2002

PATENT

Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a list of documents on form PTO-1449. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items are attached except those that were supplied in parent Application No. 09/589,504, filed June 7, 2000. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted

Henry B. Ward, III

Registration No. 42,212

Customer No. 00826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Charlotte Office (704) 444-1000 Fax Charlotte Office (704) 444-1111 In re: Forrest et al.

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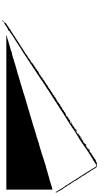
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Date of Deposit: February 8, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC, 20231.

Elaine Kelly

CLT01/4519074v1



Substitute for form 1449A/PTO				Co		
				Application Number	To be assigned	
INFORMATION DISCLOSURE				Filing Date	Concurrently herewith	
STATE	STATEMENT BY APPLICANT			First Named Inventor Forrest et al.		
				Group Art Unit		2.2
(Use as many sheets as necessary)			v)	Examiner Name		
Sheet	1	of	1	Attorney Docket Number	8190-302	60

			U.S.	PATENT DOCUMENTS		
Examiner Initials*	Cite No.	U.S. Patent	Kind Code	Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, When Relevant Passages of Relevan Figures Appear
mittais.	110.	Number	(if known)	Of Cited Document	MM-DD-YYYY	і ідшез герсаі
	1	4,728,374		Larson et al.	03/01/88	
	2	4,874,437		Masuda et al.	10/17/89	
	3	4,930,675		Bedford et al.	06/05/90	
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	5	5,167,728		Weber	12/01/92	
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	7	5,611,479		Rosen	03/18/97	
	8	6,168,067B1	**	Waldron et al.	01/02/01	
	9	6,230,957B1		Arbegast et al.	05/15/01	

				FOREIGN	PATENT DOCUMENTS			T
Examiner Initials	Cite No.	Fo	neign Patent Number	Document Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т			

CLT01/4519081v1

Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.